UNITED STATES DEPARTMENT OF COMMERCIA United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Dox 1450 Alexandra, Virginis 22313-1450 www.unpto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/800,005

03/15/2004

William V. Judy

JUDY2

CONFIRMATION NO. 6823

Date Mailed: 08/05/2004

001444 . BROWDY AND NEIMARK, P.L.L.C. 624 NINTH STREET, NW SUITE 300 **WASHINGTON, DC 20001-5303**

FORMALITIES LETTER

OC00000013443691

10/04/2004 SSITHIB1 00000166 024035 10800005

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NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

- . An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).
 - The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
 - The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
 - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) All.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

• \$770 Statutory basic filing fee.

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Atty Docket: JUDY2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William V. JUDY Serial No.: 10/800,005

Filing Date: March 15, 2004 For: STENOSIS DETECTION DEVICE

Application Division ATTN: BOX MISSING PARTS Washington, D.C. Confirmation No. 6823 September 30, 2004



LATE SUBMISSION OF FILING FEE AND/OR DECLARATION FILED UNDER 37 CFR 1.53(b)

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450 Sir:

The present communication is in response to the "NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION..." dated August 5, 2004. Attached hereto is/are:

An executed oath or declaration in compliance with 37 C.F.R. 1.63, identifying the [XX] present application by title, serial number, and filing date.

An executed oath or declaration in compliance with 37 C.F.R. 1.63, identifying the

present application by title, serial number, filing date and priority information, and to which is attached a duplicate copy of the application as filed.

Attached hereto as required by the Notice is/are: [XX] $\underline{4}$ substitute drawing sheets `(XX) (Figures 1-4D) in compliance with 37 C.F.R. §1.84.

An Information Disclosure Statement with 08A-1449 and references.

(XX) Total fees in the amount of: 900.00. The filing fee is calculated below: *[XX]

FOR:	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY			OTHER THAN SMALL ENTITY	
			RATE	FEE	OR	RATE	FEE
BASIC FEE				\$	OR		\$770.00
TOTAL CLAIMS		0	X 9=	\$		X 18=	\$
INDEP. CLAIMS		0	X 43 =	\$		X 86 =	\$
[] MULTIPLE DEPENDENT CLAIMS PRESENTED			+ 145 =	\$		+ 290 =	\$
If the difference in Co1. 1 is less than 0, enter "0" in Col. 2 _			TOTAL	\$		TOTAL	\$770.00

[XX] Late filing of Declaration surcharge in the amount of:

Small Entity [] \$65.00 Other than Small Entity [XX] \$130.00

Applicant claims small entity status. See 37 CFR 1.27.

It is hereby petitioned for an extension of time in accordance with 37 C.F.R. ſ 1.136(a).

The appropriate fee required by 37 C.F.R. 1.17 is calculated as shown below:

Other Than Small Entity Small Entity Response Filed Within Response Filed Within 55.00] First - \$ - \$ 110.00] First ſ ſ Second - \$ - \$ 420.00 - \$ 950.00 210.00 Second - \$ \$ 475.00 \$ 740.00 Third Ś Third 1 1 1 Fourth -- \$1,480.00 Fourth _ F ifth - \$2,010.00 Fifth \$1,005.00 Month After Time Period Set Month After Time Period Set

[XX] Conditional Petition for Extension of Time:

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

Credit Card Payment Form, PTO-2038, authorizing payment in the amount of \$900.00 is [XX] enclosed to cover the fees.

The Commissioner is hereby authorized and requested to charge any additional fees which [XX] may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR 1.18.

> BROWDY AND NEIMARK, P.L.L.C. Attorneys for Applicant(s)

Jay M. Finkelstein

Registration No. 21,082

JMF:ses

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